

# Appendix G

## AFFIDAVIT

STATE OF Florida

COUNTY OF Hillsborough County

BEFORE ME, the undersigned authority, personally appeared TD Ameritrade, who, being duly sworn by me, deposed and said:

1. I, Gwendolyn Y. Mickens am over the age of eighteen (18) years, of sound mind, and fully competent to make this affidavit. I have personal knowledge of the facts stated herein, and they are true and correct.
2. My address is: 4318 Cross Ridge Ct., Valrico, FL 33594.
3. I am a shareholder in MMTLP security, which I purchased through TD Ameritrade, INC. on or about 10-17-2022.
4. On 12-12-2022 at 9:12 a.m. and on 12-13-2022 at 10:08 a.m. TD Ameritrade, INC. informed me that even if FINRA ordered trading to resume in MMTLP, Schwab would not allow me to trade it in my account.
6. I have attached the following documents to this affidavit as Exhibits and they are described as follows:

Exhibit A: [Describe the document, e.g., "a copy of the communication from Charles Schwab and Co. received on [Date]"].

Exhibit B\*\*: [Describe any other attached documents, if applicable].

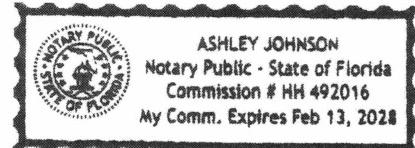
8. I understand that I am signing this affidavit under pains of perjury and that all of the foregoing is truthful.

SIGNATURE: Gwendolyn Yvette Mickens  
[Your Full Name]

SWORN TO AND SUBSCRIBED BEFORE ME on this 20 day of September, 2024, by  
Gwendolyn Y. Mickens, who is personally known to me or has produced as identification a FL DL.

NOTARY PUBLIC SIGNATURE: Ashley Johnson

PRINTED NAME: Ashley Johnson  
MY COMMISSION EXPIRE: 02.13.2028



**AFFIDAVIT**

STATE OF New York  
COUNTY OF Erie

BEFORE ME, the undersigned authority, personally appeared Anthony Erbacher, who, being duly sworn by me, deposed and said:

1. I, Anthony Erbacher am over the age of eighteen (18) years, of sound mind, and fully competent to make this affidavit. I have personal knowledge of the facts stated herein, and they are true and correct.
2. My address is: 24 Huntington ct, Amherst, NY 14221.
3. I am a shareholder in MMTLP security, which I purchased through Charles Schwab and Co. on or about 3/4/2021 – 12/6/2022.
4. On 12/11/2022, Charles Schwab and Co. informed me that even if FINRA ordered trading to resume in MMTLP, Schwab would not allow me to trade it in my account.
6. I have attached the following documents to this affidavit as Exhibits and they are described as follows:

Exhibit A: A copy of the communication from Charles Schwab and Co. through Schwab's live chat feature on 12/11/2022.

8. I understand that I am signing this affidavit under pains of perjury and that all of the foregoing is truthful.

SIGNATURE: Stephanie E Schmied  
[Your Full Name]

SWORN TO AND SUBSCRIBED BEFORE ME on this 14<sup>th</sup> day of August, 2024, by  
Anthony Erbacher, who is personally known to me or has produced as  
identification a NY State Driver license

NOTARY PUBLIC SIGNATURE: Stephanie E Schmied

PRINTED NAME: Stephanie E Schmied  
MY COMMISSION EXPIRE: 06/13/2027

STEPHANIE E SCHMIED  
NOTARY PUBLIC STATE OF NEW YORK  
ERIE COUNTY  
LIC. #01SC000951  
COMM. EXP. 06/13/2027



Assistant

Refresh Help Export Print



Live Chat

- X

for you.

Inez E, 5:10 PM

ty nce8

5:12 PM

You are very welcome. Thank you  
for your patience Anthony. I have  
confirmed that Schwab is no  
longer trading this security after  
12/8/2022. Going forward no  
orders will be accepted at Schwab  
for this security MMTLP.



Inez E, 5:12 PM

For more information on this share

What can I help you with?



# Live Chat



5:17 PM

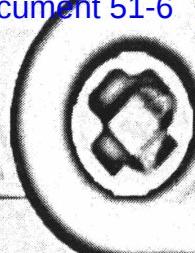
This was a business decision by upper management. The only information we have is that Schwab will not be trading this security even if FINRA does allow trading to resume. Right now the asset is halted for trading.

Inez E, 5:17 PM

Was there anything else I could assist you with today Anthony?

Inez E, 5:19 PM

What can I help you with?



Assistant



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Live Chat



Inez E, 5:23 PM

The information provides was that  
As of 12/09 FINRA has halted  
MMTLP due to the upcoming  
Corporate Action  
o <https://otce.finra.org/otce/tradingHalts>

Inez E, 5:23 PM

Inez E, 5:23 PM

Yes but. you just said even if the  
halt was removed Schwab would  
not allow trading

5:24 PM



Assistant



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Help

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Print



Live Chat



**Yes but. you just said even if the  
halt was removed Schwab would  
not allow trading**

5:24 PM

**That is correct. I have confirmed  
that this was the guidelines set by  
Schwab going forward.**

Inez E, 5:25 PM



Inez E, 5:25 PM

I have saved conversations from  
representatives on thursday where  
they informed me I would be able  
to sell my shares through the 12th.

MR ASK

5:25 PM